

1 **A lifestyle intervention with an energy-restricted Mediterranean diet and**
2 **physical activity enhances HDL function: a substudy of the PREDIMED-plus**
3 **randomized controlled trial**

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56 **Data sharing statement**

57 The datasets generated and analyzed in the current study are not expected to
58 be made available outside the core research group, as neither the participants'
59 consent forms nor ethics approval included permission for open access. We do,
60 however, follow a controlled data-sharing collaboration model, as in the informed
61 consent participants agreed to a controlled collaboration with other investigators for
62 research related to the project's aims. Data described in the manuscript, codebook,
63 and analytic code will be made available upon request pending application and
64 approval by the PREDIMED-Plus Steering Committee. Investigators who are
65 interested in this study can contact the Committee by sending a request letter
66 (predimed_plus_scommittee@googlegroups.com). A data-sharing agreement
67 indicating the characteristics of the collaboration and data management will be
68 completed for the proposals that are approved.

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77

78 **Running head:** Energy-restricted Mediterranean diet and HDL function

79

80 **Abbreviations**

81 ApoA-I: Apolipoprotein A-I

82 ApoA-IV: Apolipoprotein A-IV

83 ApoB: Apolipoprotein B

84 ApoC-III: Apolipoprotein C-III

85 ApoE: Apolipoprotein E

86 BMI: Body mass index

87 C3c: Complement component 3

88 CEC: Cholesterol efflux capacity

89 HDL: High-density lipoprotein

90 HDL-C: HDL cholesterol

91 HOII: HDL oxidative/inflammatory index

92 MedDiet: Mediterranean diet

93 S1P: Sphingosine-1-phosphate

94 SAA: Serum amyloid A

95

96 **Clinical Trial Registry**

97 ISRCTN89898870 (<http://www.isrctn.com/ISRCTN89898870>)

98 **ABSTRACT**

99 **Background:** Consumption of a Mediterranean diet, adequate levels of physical activity, and
100 energy-restricted lifestyle interventions have been individually associated with improvements
101 in HDL function. Evidence of intensive interventions with calorie restriction and physical
102 activity is, however, scarce.

103 **Objectives:** To determine whether an intensive lifestyle intervention with an energy-
104 restricted Mediterranean diet plus physical activity enhanced HDL function compared
105 to a non-hypocaloric Mediterranean eating pattern without physical activity.

106 **Methods:** In 391 older adults with metabolic syndrome (mean age, 65 years; mean BMI,
107 33.3 kg/m²) from 1 of the Prevención con Dieta Mediterránea-Plus trial centers, we
108 evaluated the impact of a 6-month intervention with an energy-restricted Mediterranean
109 diet plus physical activity (intensive lifestyle, *n*=190) relative to a nonrestrictive
110 Mediterranean diet without physical activity (control; *n*=201) on a set of HDL functional
111 traits. These included cholesterol efflux capacity, HDL oxidative/inflammatory index, HDL
112 oxidation, and levels of complement component 3, serum amyloid A, sphingosine-1-
113 phosphate, triglycerides, and apolipoproteins A-I, A-IV, C-III, and E in apoB-depleted plasma.

114 **Results:** The intensive lifestyle intervention participants displayed greater 6-month
115 weight reductions (-3.83 kg [95% CI: -4.57, -3.09]), but no changes in HDL
116 cholesterol compared with control-diet participants. Regarding HDL functional traits, the
117 intensive lifestyle decreased triglyceride levels (-0.15 mg/g protein; 95% CI: -0.29 to
118 -0.014 mg/g protein) and apoC-III (-0.11 mg/g protein 95% CI: -0.18 to -0.026 mg/g protein)
119 compared to the control group diet, with weight loss being the essential mediator (proportions
120 of mediation were 77.4% and 72.1% for triglycerides and apoC-III levels in HDL,
121 respectively).

122 **Conclusions:** In older adults with metabolic syndrome, an energy-restricted
123 Mediterranean diet plus physical activity improved HDL triglyceride metabolism
126 Compared with a non-restrictive Mediterranean diet without physical activity.

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129 **KEYWORDS**

130 High-density lipoprotein, physical activity, calorie restriction, Mediterranean diet,
131 randomized controlled trial

132 **INTRODUCTION**

133

134 Raised levels of HDL cholesterol have been associated with lower risks
135 of cardiovascular disease (1). Pharmacological interventions and Mendelian
136 randomization studies have, however, questioned the causal association between
137 increased HDL cholesterol concentrations and lower cardiovascular risk (2,3).
138 Thus, HDL functional traits merit further investigation as to their possible roles in
139 modifying such a risk (2). These include: 1) cholesterol efflux capacity (CEC), the
140 ability of HDLs to pick up cholesterol excess from cells, such as macrophages; 2)
141 HDL antioxidant/anti-inflammatory properties [HDL oxidative/inflammatory index
142 (HOII); HDL oxidation status, HDL levels of acute-phase proteins such as complement
143 component 3 (C3c) and serum amyloid A (SAA); etc.]; 3) HDL endothelial protection
144 [related to their sphingosine-1-phosphate (S1P) content]; 4) HDL role on triglyceride
145 metabolism; and 5) HDL-bound apolipoprotein concentrations (4–6). Reduced CEC
146 values, pro-oxidative/pro- inflammatory HDLs (with increased HOII values and
147 elevated levels of C3c), S1P- poor HDLs, dysfunctional HDLs on the triglyceride
148 metabolism (enriched in disruptors of the triglyceride metabolism, such as apoC-III),
149 and HDLs with impaired levels of apolipoproteins such as apoA-I, have been
150 associated with greater cardiovascular risk in several cohorts (7–10). In addition, a
151 recent Mendelian randomization study has established a potentially causal
152 relationship between HDL quality characteristics beyond HDL cholesterol levels
153 and coronary artery disease (11), suggesting that HDL functional/quality
154 characteristics could act as potential therapeutic targets for cardiovascular disease.
155

156 Adequate levels of physical activity are key in the prevention of cardiovascular
157 disease (12). Additional benefits on cardiovascular risk can be achieved when this
158 lifestyle modification is accompanied by energy restriction, leading to sustained
159 weight reduction (13). Regarding HDL functions, several short-term, small-scale,
160 randomized controlled studies and noncontrolled trials have assessed the individual
161 associations among physical activity, weight loss, and HDL functionality. In most
162 cases, results were inconsistent or of lesser scientific quality. The relationship
163 between physical activity and CEC has been shown to be controversial (14–18).
164 Calorie restriction has been linked to decreases in CEC values in 2 noncontrolled
165 studies (19,20), and studies combining both have also reported conflicting or
166 uncontrolled findings (21–23). HDL antioxidant capacities and HDL oxidation have
167 only been studied in noncontrolled trials, although enhancements in both have been
168 associated with physical activity (18,23–28). Physical activity has also been linked to
169 improvements in HDL anti-inflammatory properties in further noncontrolled studies
170 (18,28), although findings were inconsistent in a randomized controlled trial (15).
171 Finally, the associations between physical activity and HDL proteome (HDL levels of
172 acute-phase proteins such as C3c, SAA, apoA-I, apoA-IV, apoC-III, and E, among many
173 others) have also been investigated in 2 observational studies (23,29). Testing the effects
174 of promoting physical activity and calorie restriction within the frame of a Mediterranean
175 diet (MedDiet) would therefore be a logical next step. This dietary pattern, and some
176 of its key foods, have been associated with improvements in CEC, the HDL cholesterol
177 metabolism, HDL antioxidant properties, HDL oxidation, HDL-bound levels of acute-phase
178 proteins, HDL endothelial protection, HDL's role in triglyceride metabolism, and HDL
179 levels of certain apolipoproteins such as ApoA-I (30–33).

180

181 The aim of this study was to determine whether a lifestyle intervention
182 consisting of an energy-restricted MedDiet and physical activity improved HDL
183 functional traits in individuals with metabolic syndrome, compared to a MedDiet with
184 spontaneous caloric intake and no changes in physical activity.

185

186

187 **METHODS**

188

189 *Participants*

190 Our study population is a subsample of 391 volunteers from the *Prevención*
191 *con Dieta Mediterránea-Plus* (PREDIMED-Plus) study. The subjects were recruited
192 in the Hospital del Mar Medical Research Institute (Barcelona, Spain) and provided
193 plasma samples at baseline and after 6 months of intervention. The PREDIMED-Plus
194 study is a multicenter, parallel, randomized controlled trial that aims to evaluate the
195 effect of a lifestyle intervention with an energy-restricted MedDiet combined with
196 physical activity and behavioral support relative to a MedDiet with a spontaneous
197 caloric intake and without physical activity (control group), on the primary incidence
198 of cardiovascular disease (13,34). Participants were community-dwelling males
199 (aged 55-75 years) and females (aged 60-75 years) with a BMI between 27 and
200 40 kg/m². They presented at least 3 criteria for metabolic syndrome: 1) triglycerides
201 ≥150 mg/dL or triglyceride-lowering medication; 2) fasting glucose ≥100 mg/dL
202 or glucose-lowering medication; 3) systolic/diastolic blood pressure ≥130/85 mmHg
203 or antihypertensive medication; 4) HDL cholesterol levels <40 mg/dL in males and
204 <50 mg/dL in females; and/or 5) waist circumference ≥94 cm in males and
205 ≥80 cm in females (35). The study protocol complied with the Declaration of Helsinki,

206 and is registered at the International Standard Randomized Controlled Number Registry
207 as ISRCTN89898870. It has also been published elsewhere (35) and is available on the
208 PREDIMED-Plus study website (<https://www.predimedplus.com/en/project>). This particular
209 Sub-project was approved by the Parc de Salut Mar Clinical Research Ethics Committee.
210 All participants provided written informed consent at the beginning of the study. The study
211 The study flowchart is depicted in **Figure 1**.

212 *Exposure: lifestyle intervention*

213 Participants were randomly allocated to a 1:1 ratio of either intensive lifestyle
214 or nonrestrictive MedDiet intervention groups by a centrally controlled, computer-
215 generated, random-numbered, internet-based system with stratification by center,
216 age, and sex, as previously described (13).

217 Participants allocated to the control group were instructed by trained dieticians
218 to follow a traditional MedDiet without caloric intake restrictions (the dietary
219 intervention described in the PREDIMED study) (36). We encouraged: 1) the
220 consumption of fruit, vegetables, legumes, nuts, and fish; 2) the use of extra-virgin
221 olive oil as main culinary fat and for traditional food preparation techniques such as
222 “sofrito”; and 3) a reduction in the intake of red/processed meats (by replacing them
223 with poultry), sugary drinks, pastries, confectionery, sweets, and fatty spreads (36).
224 Participants in the control group did not receive recommendations to increase their
225 levels of physical activity or lose weight. The follow-up in this group consisted of an
226 individual on-site interview at the beginning of the study and after each 6 months
227 (35).

228 Participants allocated to the intensive intervention group were instructed to
229 follow an energy-restricted MedDiet, together with physical activity recommendations,
230 with the purpose of achieving specific weight loss goals (we aimed at an 8% weight

231 reduction or $\geq 5\%$ decrease in waist circumference). Regarding physical activity,
232 subjects were encouraged to perform at least 45 minutes per day of moderate-
233 intensity aerobic activity (such as brisk walking, cycling, and swimming) and carry out
234 resistance, balance, or flexibility training. They were additionally advised to perform
235 different exercises to develop the strength of the main muscles for at least 2
236 days/week (duration: 30-40 minutes/day), as well as directed balanced activities
237 (e.g., yoga, tai chi) if they felt motivated and had access to these activities. Dietitians
238 adapted the previous recommendations to gradually achieve physical activity goals,
239 considering the participants' preferences. In addition, the energy-restricted MedDiet
240 intervention was aimed at a long-term, progressive, sustained calorie decrease of
241 approximately 30% of estimated energy requirements (about 600 kcal/day) according
242 to each participant's basal metabolic rate and physical activity levels, following the
243 Institute of Medicine equations (13). This calorie restriction was recommended within
244 the context of the previously described traditional MedDiet pattern, with some
245 particularities: 1) there were more restrictive limits for the consumption of
246 red/processed meats, fatty spreads, and sugary drinks; and 2) there were greater
247 limitations regarding the intake of refined carbohydrates (such as added sugar in
248 beverages, white bread, and refined cereals) and a promotion of whole-grain
249 consumption (35). To accomplish such goals, this intensive intervention group
250 followed a more thorough visit plan (1 face-to-face individual interview, 1 group
251 session, and 1 phone call every month) (13,34,35).

252 Dietary quality, physical activity levels, and energy intake were evaluated in all
253 participants using 3 questionnaires. Adherence to the energy-restricted MedDiet
254 pattern was assessed by a 17-item questionnaire, with scores ranging from 0 (null
255 adherence) to 17 (full adherence) (35). We measured the total energy expenditure

256 from physical activity with the Minnesota-REGICOR (Registre Glroní del COR) leisure-time
257 physical activity questionnaire (37). It was estimated in metabolic equivalents of task
258 (METs) minutes per week by multiplying the METs linked to each activity collected in the
259 questionnaires with the mean duration in minutes/week reported by the participants.
260 Finally, we measured the intake of total energy (kcal/day) using the information
261 gathered in a 143-item, semi-quantitative FFQ validated in an adult Spanish population (38).

262

263

264 *Outcomes: HDL functional traits*

265 We collected fasting EDTA plasma samples at baseline and after 6 months of
266 the intervention and stored them at -80°C until use. In these samples, we
267 measured levels of glucose (Glucose HK CP, Horiba ABX), total cholesterol
268 (Cholesterol CP, Horiba ABX), triglycerides (Triglycerides CP, Horiba ABX),
269 and HDL cholesterol (HDL Direct CP, Horiba ABX) in an autoanalyzer ABX Pentra.
270 LDL cholesterol was calculated using the Friedewald equation when triglycerides
271 were <300 mg/dL.

272 We determined all HDL functional traits in apoB-depleted plasma, a modified
273 preparation in which all lipoproteins except HDL are eliminated (low- and very low-
274 density lipoproteins) by precipitation with 20% polyethylene glycol 8000 (Sigma-
275 Aldrich) (31). CEC was measured in a human THP-1 monocyte- derived macrophage
276 cell line incubated with 0.025 mM fluorescent 23-(dipyrrrometheneboron difluoride)-
277 24-norcholesterol (Avanti Polar Lipids) (7). The antioxidant/anti-inflammatory
278 capacity of HDL was estimated by the HOII technique [the HDL capacity to prevent
279 the oxidation of the fluorescent marker 2'-7'-dichlorohydrofluorescein (Life Technologies)
280 by oxidized LDLs] (7,31). HDL oxidation status [HDL content of oxidized lipids

281 (malondialdehyde equivalents) per unit of protein] was measured by the thiobarbituric
282 acid reactive substances assay as previously described (31). ELISA kits were used
283 to determine levels of SAA (*Human SAA ELISA Kit*, Life Technologies),
284 S1P (*Sphingosine 1 Phosphate BioAssay ELISA Kit*, US Biological), and apoA-IV
285 (*Human Apolipoprotein A-IV ELISA Kit*) (7). Finally, in an ABX Pentra autoanalyzer
286 we determined the levels of C3c, triglycerides, apoA-I, apoC-III, ApoE, and total
287 protein content in ApoB-depleted plasma samples [*ApoA1, Triglycerides CP, and*
288 *Total Protein CP*; Horiba ABX); *Complement C3, ApoC-III, and ApoE*, Spinreact)]
289 (7,31). Levels of C3c, SAA, S1P, triglycerides, apoA-I, apoA-IV, apoC-III, and apoE
290 in apoB-depleted plasma were normalized against total protein concentration
291 in these samples.

292 Interassay variability was minimized by: 1) examining the pre- and post-
293 intervention samples from the same participant in the same experimental run; 2)
294 analyzing the pair of samples from a participant of the intervention group followed by
295 the samples of a participant of the control arm, according to a random sequence
296 established prior to analyses; and 3) including in each experiment a sample pool
297 (isolated from 20 healthy volunteers) used to calculate interassay CVs. Regarding
298 functional tests (CEC and HOII): 1) both were assayed in duplicate and values with
299 CVs $\geq 15\%$ were eliminated; and 2) interassay variability was minimized by dividing
300 CEC and HOII values of samples by those obtained for the control pool, providing
301 normalized ratios without units as results (7,31). Interassay CVs and the number of
302 missing values for all determinations are available in **Supplemental Table 1**.

303

304 *Covariates and other variables*

305 Trained staff collected data on the following variables at the baseline visit: age,
306 sex, educational level, glucose-lowering, cholesterol-lowering, and antihypertensive
307 drug use, and smoking habit. Qualified health-care providers measured weight and
308 height using calibrated weight scales and stadiometers, and waist circumference
309 (midway between the lowest rib and the iliac crest) using an anthropometric tape.
310 BMI was calculated as weight divided by height squared (kg/m^2). Blood pressure was
311 measured using a calibrated automated oscillometer (35). Type-2 diabetes was
312 defined as described in the PREDIMED-Plus protocol (35); hypercholesterolemia was
313 described as presenting with total cholesterol levels ≥ 200 mg/dL or using cholesterol-
314 lowering medication; and hypertension was described as presenting with systolic blood
315 pressure ≥ 140 mmHg, presenting with diastolic blood pressure ≥ 90 mmHg, or using
316 antihypertensive drugs.

317

318

319 *Sample size*

320 A sample size of 190 participants per group allowed $\geq 80\%$ power to detect
321 differences of 0.019 units in normalized CEC between pre- and post-intervention
322 values, and of 0.026 units between the 2 interventions, considering a 2-sided type I
323 error of 0.05, a loss rate of 5%, and the SD of the differences in CEC reported
324 after an analogous dietary intervention in individuals at high cardiovascular risk
325 (SD, 0.089) (31).

326

327 *Statistical analyses*

328 We described normally distributed continuous variables by means and
329 SDs, nonnormally distributed continuous variables by medians (1st to 3rd quartile),

330 and categorical variables by proportions.

331 As main analyses, we assessed whether there were differences in the post-
332 intervention values in lifestyle variables, continuous cardiovascular risk factors, and
333 HDL functional traits in the energy-restricted MedDiet + physical activity group
334 relative to the nonrestrictive MedDiet arm by multivariable linear regressions
335 adjusted for: baseline levels of each outcome parameter (continuous), age
336 (continuous), sex, educational level (primary/secondary/greater/unavailable), HDL
337 cholesterol (continuous), triglycerides (continuous), prevalence of type 2 diabetes
338 mellitus (yes/no), hypercholesterolemia (yes/no), hypertension (yes/no), smoking habit
339 (current/former/never smoker), BMI (continuous), physical activity (continuous), and
340 total energy intake (continuous). Multicollinearity among covariates was ruled out by
341 checking their variance inflation factor values in all regression models, and normal
342 distribution of all model residuals was confirmed by their quartile-quartile Q-Q plots.
343 Models were fitted using the “lme4” package in R Software (R Foundation for Statistical
344 Computing) (39). We also calculated the mediating effect of the 6-month weight loss
345 on the associations between the intervention and the changes in HDL functionality
346 traits using the “mediation” package in R Software (40). The proportion of mediation
347 was calculated as the ratio between the effect size of the association through the
348 6-month BMI changes and the total effect size. Finally, as exploratory analyses,
349 we assessed the average change across groups relative to preintervention values.
350 We analyzed whether there were differences relative to baseline in all study
351 participants by paired t-tests in normally distributed continuous variables and
352 Wilcoxon signed rank tests in nonnormally distributed variables. These analyses
353 were also performed within-group when the intergroup differences were
354 significant. We did not perform any multiple testing adjustment because our analyses

355 were hypothesis driven and the phenotypes of interest were correlated and not
356 independent (**Supplemental Figures 1 and 2**).

357 Analyses were performed using R Software version 3.6.1 (41).

358

359

360 **RESULTS**

361 *Study participants*

362 Participants were 391 older adults (mean age, 65.5 ± 4.64 years; 52% women)
363 with excess body weight (19% of the population presented BMI values of 27.0-
364 29.9 kg/m², and the remaining 81% presented values between 30.0-40.0 kg/m²) and
365 a high prevalence of cardiovascular risk factors (85% hypertension, 69%
366 hypercholesterolemia, 35% diabetes, 9% current smokers). No differences at
367 baseline between intervention and control groups were found for these
368 characteristics, adherence to the MedDiet, and leisure-time physical activity levels
369 (**Table 1**).

370

371 *Lifestyle modifications*

372 All participants increased their estimated total energy expenditure in physical
373 activity and decreased their calorie intake relative to baseline values. However,
374 participants in the intensive-lifestyle intervention displayed a greater increase
375 (relative to the control arm) in physical activity (+726 METs-min/week; 95% CI: 294,
376 1160 METs-min/week) and a modest but greater decrease in energy intake (-75.8 kcal/day;
377 95% CI: -147 to -4.5 kcal/day; **Supplemental Table 2**). Both intervention arms, based on
378 MedDiets, were associated relative to baseline with increases in the consumption of virgin
379 olive oil, vegetables, legumes, nuts, whole grains, poultry, and white and fatty fish and

380 decreases in the intake of refined grains, red meat, processed meat, and alcoholic beverages
381 (all P values <0.001). Adherence to the energy-restricted MedDiet pattern was, however,
382 greater in the intensive lifestyle intervention group (+1.43 score points; 95% CI: 0.93-1.93
383 scores points). This intervention arm presented higher increases in the consumption of
384 legumes, nuts, and poultry and decreases in the intake of refined grains (a marginal reduction
385 in the consumption of red meat was also suggested) (**Supplemental Table 2**). No
386 changes in smoking status were observed (**Supplemental Table 3**).
387

Changes in continuous cardiovascular risk factors

388 Irrespective of the study group, relative to baseline all participants had decreases in
389 fasting glucose values, total and LDL cholesterol levels, systolic and diastolic blood
390 pressure, body weight, BMI, and waist circumference, and increases HDL cholesterol
391 concentrations. However, those allocated to the intensive lifestyle group, compared
392 to the control group, experienced greater 6-month reductions in fasting glucose (-4.71
393 mg/dL; 95% CI: -9.06 to -0.35 mg/dL), triglycerides (-21.1 mg/dL; 95% CI: -30.5 to -11.6
394 mg/dL), systolic blood pressure, (-4.36 mmHg; 95% CI: -6.87 to -1.84 mmHg), diastolic blood
395 pressure (-3.57 mmHg; 95% CI: -5.26 to -1.89 mmHg), body weight (-3.83 kg; 95% CI: -4.57
396 to -3.09 kg), BMI (-1.43 kg/m²; 95% CI: -1.71 to -1.16 kg/m²), and waist circumference
397 (-3.44 cm; 95% CI: -4.28 to -2.61 cm). No intergroup differences in total, HDL, and
398 LDL cholesterol levels were observed (**Supplemental Table 4**).

400

Changes in HDL functional traits

402 Compared to participants in the control group, those in the intensive-
403 intervention group had greater 6-month reductions in levels of triglycerides (-0.15 mg/g
404 protein; 95% CI: -0.29 to -0.014 mg/g protein) and apoC-III (-0.11 mg/g protein; 95% CI:
405 -0.18 to -0.026 mg/g protein) in apoB-depleted plasma (**Table 2**). Intergroup differences
406 in both parameters were substantially mediated by 6-month weight changes (triglycerides:
407 proportion of mediation=77.4% (95% CI: 22.3%-382%; *P*-value=0.016); apoC-III: proportion
408 of mediation=72.1% (95% CI: 30.3%-265%; *P*-value=0.006); (**Supplemental Table 5**).
409 Intergroup differences, stratified by sex and baseline prevalence of diabetes, are available in
410 **Supplemental Tables 6** and **7**. No intergroup differences in 6-month changes were
411 detected in the remaining HDL functional traits. Nevertheless, we observed
412 decreases in HDL oxidative/inflammatory potential, HDL oxidation, and
413 concentrations of C3c, SAA, and S1P and increases in apoA-I relative to baseline

414 values across groups (**Table 2**).

415

416

417 **DISCUSSION**

419 An intervention with an energy-restricted MedDiet plus physical activity
420 improved HDL functionality on triglyceride metabolism in older adults with metabolic
421 syndrome compared with a nonrestrictive MedDiet without physical activity.

422 HDLs are intimately related to triglyceride metabolism. High triglyceride levels
423 in HDLs destabilize their structure and function (42) and, in turn, have been causally
424 linked to greater coronary artery disease (11). Moreover, HDLs carry lipoproteins
425 involved in triglyceride metabolism, such as apoC-III which inhibits lipoprotein lipase
426 activity and the hepatic clearance of triglyceride-rich lipoproteins (43), and is directly
427 linked to coronary heart disease risk (44). In our study, intervention with an energy-
428 restricted MedDiet plus physical activity was able to decrease both apoC-III and the
429 triglyceride content of HDLs, mainly through the associated weight loss. This factor
430 could partially explain decreases in these parameters, as obesity is related to greater
431 HDL content of apoC-III and triglycerides (42,45). ApoC-III synthesis is also
432 exacerbated in impaired glucose metabolism states (46) which may diminish after
433 weight loss. Finally, the molecular effects of physical activity and energy restriction
434 may additionally contribute to decreasing triglyceride levels. Aerobic physical activity
435 and caloric restriction have been shown to be able to stimulate AMP-activated protein
436 kinase, which, in turn, decreases the activation of lipogenic transcription factors
437 involved in triglyceride synthesis in the liver (47). A synergistic effect between these
438 lifestyle modifications and some MedDiet bioactive compounds could additionally be
439 present. Phenolic compounds and SCFAs derived from the bacterial

440 metabolism of dietary fiber in the intestine have been reported to be able to boost
441 AMP-activated protein kinase through alternative metabolic pathways (48,49).

442 Contrary to what was observed for HDL's role in the triglyceride metabolism, we
443 did not observe any intergroup difference in HDL properties related to oxidative status
444 and low-grade inflammation, because there was a decrease in these properties
445 relative to baseline in both study arms. Both were based in antioxidant-rich dietary
446 patterns (50), and previous human studies have indicated that dietary antioxidants
447 are able to bind to HDLs and possibly induce a local antioxidant effect (30,31,51). In
448 addition, a MedDiet has been shown to decrease the levels of circulating pro-
449 inflammatory cytokines (52), probably due to the ability of dietary antioxidants to
450 modulate various transcriptomic mechanisms (53), which in turn could be associated
451 with reduced adhesion of these molecules to the surface of HDL. These findings
452 agree with previous evidence, since an improvement in HDL antioxidant/anti-
453 inflammatory properties has been reported after a 1-year intervention with a MedDiet
454 in individuals with a high cardiovascular risk (31,33). Finally, the 2 intervention arms failed
455 to increase CEC. In a prior study comparing a traditional MedDiet intervention with a
456 low-fat diet, no intergroup difference was observed in CEC values, although they
457 increased in the MedDiet intervention groups relative to baseline (31). A weight-loss
458 intervention based on a healthy dietary pattern [Dietary Approaches to Stop Hypertension
459 (DASH diet] plus physical activity was also linked to increased CEC levels in an observational
460 study (29). Such divergent findings might be due to: 1) differing proportions of individuals prone
461 prone to lower CEC values (likely to benefit from the intervention), such as participants with
462 type-2 diabetes or excess weight (54); 2) distinct intervention lengths (6 months in the
463 present study, 12 months in our prior work, 3 months for the DASH diet); 3) different
464 magnitudes of weight loss among studies; and 4) the techniques used to quantify
465 CEC (in the present study we worked with a fluorescent-labeled cholesterol probe,

466 whilst in the others a radiolabeled cholesterol analog was used).

467 Our study has some strengths. As far as we know, this is the largest to
468 address the effect of a whole-lifestyle intervention on a comprehensive, hypothesis-
469 driven set of HDL functional traits. Its sample size, together with its randomized
470 design, provide high quality evidence and minimize the influence of confounding and
471 bias. There are, however, a number of limitations. First, results were obtained in
472 older adults with metabolic syndrome and excess body weight, and cannot therefore
473 be extrapolated to other populations. Second, as expected, we only found moderate
474 differences between intervention arms, given that we used an active comparator as a
475 control group (a healthy, traditional MedDiet), and the intensive intervention
476 consisted of real-life changes of diet and physical activity, adapted to the participants'
477 clinical conditions. Third, whilst a substantial increase in the physical activity levels of
478 the participants in the intensive lifestyle intervention arm was observed, the intergroup
479 differences in energy intake were of a lower magnitude. Nevertheless, the
480 aimed decrease in energy consumption is ambitious and intended to be achieved
481 throughout the whole study. Currently, we are only considering the 6 first months of
482 the intervention. Fourth, 16 participants from the 407 recruited individuals in our
483 center were lost to follow-up after 6 months of the study. This may represent a
484 potential source of bias in our analyses. Fifth, our study design compares an
485 intensive intervention based on the combination of calorie restriction, physical
486 activity, and a Mediterranean dietary pattern relative to a control arm based on a non-
487 hypocaloric Mediterranean diet exempt of physical activity recommendations. Our
488 design does not allow us to discriminate between the individual effects of calorie restriction
489 or physical activity, nor to examine their interactions. Possible synergistic or additive effects
490 should be further explored in more specific designs. Sixth, our study is based on a

491 hypothesis-driven approach and investigates secondary outcomes of the PREDIMED-
492 Plus study (which are correlated and not independent). Thus, we did not correct our
493 results according to multiple testing, and the *P* values reported in our findings should
494 be interpreted with caution. Finally, the results of the mediation analyses presented
495 wide Cis due the limited sample size and should also be interpreted carefully.

496 In conclusion, in older adults with metabolic syndrome, an intensive-lifestyle
497 intervention with an energy-restricted MedDiet and physical activity improved HDL
498 functions on the triglyceride metabolism relative to a nonrestrictive MedDiet control
499 group. Our findings suggest that a healthy lifestyle may have a positive impact on
500 HDL functionality. Further prospective studies examining whether these
501 improvements mediate the cardiovascular benefits of the lifestyle modifications
502 investigated in our work are warranted.

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505

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512

513

514 **CONFLICTS OF INTEREST**

515

516 J.S.-S. reports being a board member and receiving personal fees from the
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529 **AUTHORS' CONTRIBUTIONS**

530 AH and MF designed the research. AS conducted research. MAMG, JSS, DC,
531 RE, FJT, ER, and MF conducted the clinical trial and provided study databases. AS,
532 IS, and AH analyzed data. AS, AH, and MF wrote the manuscript draft. MTSF, OC,
533 IS, CL, MAMG, JSS, DC, RE, FJT, and ER reviewed and edited the text. AH and MF
534 have primary responsibility for final content. All authors read and approved the final
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TABLES

Table 1. Baseline characteristics of participants

	All participants (n=391)	Control group (n=201)	Intensive intervention (n=190)
Age (years), mean \pm SD	65.5 \pm 4.64	65.3 \pm 4.61	65.7 \pm 4.68
Female sex, n (%)	204 (52.2)	105 (52.2)	99 (52.1)
Type-2 diabetes, n (%)	137 (35.0)	72 (35.8)	65 (34.2)
Glucose-lowering medication, n (%)	89 (22.8)	53 (26.4)	36 (18.9)
Hypercholesterolemia, n (%)	270 (69.4)	142 (70.6)	128 (68.1)
Cholesterol-lowering medication, n (%)	182 (46.5)	95 (47.3)	87 (45.8)
Hypertension, n (%)	334 (85.4)	170 (84.6)	164 (86.3)
Antihypertensive medication, n (%)	299 (76.5)	152 (75.6)	147 (77.4)
Status according to BMI:			
BMI between 27.0-29.9 kg/m ² , n (%)	76 (19.4)	32 (15.9)	44 (23.2)
BMI between 30.0-40.0 kg/m ² , n (%)	315 (80.6)	169 (84.1)	146 (76.8)
Abdominal obesity, n (%)	377 (97.2)	196 (98.5)	181 (95.8)
Smoking status:			
Never smokers, n (%)	193 (49.4)	91 (45.3)	102 (53.7)
Current smokers, n (%)	36 (9.21)	16 (7.96)	20 (10.5)
Former smokers, n (%)	162 (41.4)	94 (46.8)	68 (35.8)
Educational level:			
Elementary school, n (%)	163 (41.6)	86 (42.8)	77 (40.5)
High school, n (%)	137 (35.0)	73 (36.3)	64 (33.7)

Undergraduate education, n (%)	40 (10.2)	15 (7.46)	25 (13.2)
Graduate or postgraduate, n (%)	48 (12.3)	27 (13.4)	21 (11.1)
Unavailable information, n (%)	3 (0.77)	0 (0.00)	3 (1.58)
Adherence to the MedDiet (score), mean \pm SD	7.32 \pm 2.46	7.17 \pm 2.38	7.48 \pm 2.55
Leisure-time physical activity (metabolic equivalents of task- minute/week), median (1 st -3 rd quartile)	1,958 (895-3,413)	1,734 (895-3,413)	2,168 (899-3,378)

Table 2. Differences in 6-month changes in HDL functionality traits between control and intervention groups

	Non-restrictive MedDiet, control group		Energy-restricted MedDiet + physical activity		Average change across groups	Inter-group difference	
	Pre-interv. values	Post-interv. values	Pre-interv. values	Post-interv. values	<i>P</i> -value	Adjusted difference [95% CI]	<i>P</i> -value
Cholesterol efflux capacity, ratio	1.08 ± 0.17	1.09 ± 0.17	1.05 ± 0.15	1.05 ± 0.15	0.372	-0.006 [-0.028; 0.016]	0.616
HDL oxidative/inflammatory index, ratio	0.89 ± 0.20	0.83 ± 0.19	0.91 ± 0.17	0.87 ± 0.18	<0.001	0.022 [-0.005; 0.048]	0.107
HDL oxidation, µg MDA/g protein	10.1 ± 2.24	9.92 ± 2.33	10.3 ± 2.34	9.92 ± 2.20	<0.001	-0.095 [-0.31; 0.12]	0.386
Complement component 3 in apoB- depleted plasma, mg/g protein	4.15 ± 1.18	3.94 ± 1.15	3.92 ± 1.18	3.75 ± 1.02	<0.001	-0.040 [-0.21; 0.13]	0.646
Serum amyloid A in apoB-depleted	477	398	437	347	<0.001	76.5	0.327

plasma, µg/g protein	(249-993)	(212-883)	(264-870)	(208-893)		[-76.4; 229]	
Sphingosine-1-phosphate in apoB-depleted plasma, µg/g protein	3.65 ± 1.20	3.63 ± 1.21	4.00 ± 1.35	3.76 ± 1.27	0.028	[-0.32; 0.11]	0.327
Triglycerides in apoB-depleted plasma, mg/g protein	3.85 ± 0.96	3.67 ± 0.90 ¹	3.83 ± 1.02	3.54 ± 0.97 ¹	<0.001	[-0.29; -0.014]	0.032
Apolipoprotein A-I in apoB-depleted plasma, mg/g protein	26.6 ± 4.10	26.8 ± 4.17	25.8 ± 3.91	26.3 ± 4.17	0.009	[-0.32; 0.62]	0.531
Apolipoprotein A-IV in apoB-depleted plasma, µg/g protein	139 (97.3-208)	134 (99.5-192)	130 (101-171)	129 (94.6-175)	0.187	2.74 [-8.75; 14.2]	0.641
Apolipoprotein C-III in apoB-depleted plasma, mg/g protein	1.00 (0.66-1.50)	1.02 (0.62-1.40)	0.98 (0.61-1.42)	0.82 (0.55-1.31) ¹	<0.001	[-0.11; -0.026]	0.009
Apolipoprotein E in apoB-depleted plasma, mg/g protein	0.31 ± 0.16	0.31 ± 0.17	0.29 ± 0.16	0.30 ± 0.16	0.699	0.010 [-0.008; 0.028]	0.277

¹: *P*-value <0.05 (post- versus pre-intervention values: paired t-test for normally distributed variables, Wilcoxon signed-rank test for non-normally distributed variables)

Pre- and post-intervention values are presented as means \pm standard deviations for normally distributed variables or medians (1st-3rd quartile) for non-normally distributed variables. Inter-group comparisons in post-intervention values were assessed by multivariable linear regression models adjusted for: baseline levels of the parameter, age, sex, educational level, HDL-C, triglycerides, type-II diabetes, hypercholesterolemia, hypertension, smoking, body mass index, physical activity, and total energy intake. Average change across groups was assessed in the whole study population by paired t-tests in normally distributed variables and Wilcoxon signed-rank test in non-normally distributed variables.

FIGURES

Figure 1. Study flowchart

ON-LINE SUPPLEMENTARY MATERIAL

A lifestyle intervention with an energy-restricted Mediterranean diet and physical activity enhances HDL function: a sub-study of the PREDIMED-plus randomized controlled trial

Albert Sanllorente, María Trinidad Soria-Flrido, Olga Castañer, Camille Lassale, Jordi Salas-Salvadó, Miguel Ángel Martínez-González, Isaac Subirana, Emilio Ros, Dolores Corella, Ramón Estruch, Francisco J. Tinahones, Álvaro Hernáez, Montserrat Fitó

Supplemental Table 1. Inter-assay coefficients of variability and number of missing values

Supplemental Table 2. Differences in 6-month changes in lifestyle and dietary parameters between control and intensive intervention groups

Supplemental Table 3. Changes in the proportion of non-smokers or ever smokers after 6 months of intervention

Supplemental Table 4. Differences in 6-month changes in clinical parameters between control and intensive intervention groups

Supplemental Table 5. Proportion of inter-change differences mediated by 6-month decreases in body mass index

Supplemental Table 6. Sex-stratified inter-group analyses

Supplemental Table 7. Diabetes-stratified inter-group analyses

Supplemental Figure 1. Correlation matrix among baseline HDL functionality parameters

Supplemental Figure 2. Correlation matrix among post-intervention HDL functionality parameters

Appendix. List of PREDIMED-Plus Collaborators

Supplemental Table 1. Inter-assay coefficients of variability and number of missing values

	Inter-group coefficient of variation (%)	Missing data, <i>n</i> (%)
Determinations in plasma		
Glucose	2.73%	0 (0%)
Total cholesterol	2.64%	0 (0%)
HDL cholesterol	3.47%	0 (0%)
Triglycerides	4.45%	0 (0%)
Determinations in apolipoprotein B-depleted plasma		
Cholesterol efflux capacity	7.21%	19 (2.43%)
HDL oxidative/inflammatory index	5.65%	2 (0.26%)
HDL oxidation	4.24%	12 (1.53%)
Complement component 3	4.08%	7 (0.90%)
Serum amyloid A	16.0%	6 (0.77%)
Sphingosine-1-phosphate	10.9%	16 (2.04%)
Triglycerides	1.65%	3 (0.38%)
Apolipoprotein A-I	1.78%	9 (1.15%)
Apolipoprotein A-IV	11.3%	4 (0.51%)
Apolipoprotein C-III	6.15%	4 (0.51%)
Apolipoprotein E	3.23%	6 (0.77%)

Supplemental Table 2. Differences in 6-month changes in lifestyle and dietary parameters between control and intensive intervention groups

	Non-restrictive MedDiet, control group		Energy-restricted MedDiet + physical activity		Average change across groups	Inter-group difference	
	Pre-interv. Values	Post-interv. values	Pre-interv. values	Post-interv. values	P-value	Adjusted diff. [95% CI]	P-value
Adherence to an energy-restricted MedDiet (score points)	7.17 ± 2.38	10.3 ± 2.64 ¹	7.48 ± 2.55	11.7 ± 2.40 ¹	<0.001	1.43 [0.93; 1.93]	<0.001
Leisure-time physical activity (METs-min/week)	1730 (895-3410)	2240 (1120-3480)	2170 (899-3380)	2970 (1710-5010) ¹	<0.001	726 [294; 1160]	0.001
Energy intake (kcal/day)	2420 (2110-2730)	2300 (2070-2570) ¹	2300 (2050-2620)	2190 (2020-2440) ¹	<0.001	-75.8 [-147; -4.48]	0.038
Carbohydrates (g/day)	225 (188-256)	199 (172-241) ¹	209 (179-250)	190 (172-210) ¹	<0.001	-11.0 [-20.2; -1.77]	0.020
Proteins (g/day)	105 (91.9-118)	107 (97.8-117)	101 (87.9-113)	108 (97.1-117)	<0.001	1.45 [-1.69; 4.58]	0.367
Total fat (g/day)	115 (99.4-135)	116 (100-128)	110 (96.1-131)	110 (98.2-123)	0.251	-2.51 [-6.51; 1.49]	0.220
Saturated fatty acids (g/day)	29.4 (24.6-35.1)	24.1 (20.6-29.1) ¹	28.5 (22.9-33.8)	22.3 (19.7-25.8) ¹	<0.001	-2.19 [-3.35; -1.04]	<0.001
Monounsaturated fatty acids (g/day)	59.6 (51.9-69.2)	62.4 (52.4-72.5)	57.3 (50.0-67.2)	63.1 (53.2-72.6)	<0.001	1.06 [-1.86; 3.98]	0.478
Polyunsaturated fatty acids (g/day)	17.0 (13.8-21.8)	22.6 (17.4-25.2)	16.0 (13.4-20.7)	22.6 (18.9-25.0)	<0.001	0.72 [-0.29; 1.73]	0.162
Omega-3 polyunsaturated fatty acids (g/day)	0.92 (0.71-1.55)	1.49 (0.80-1.65)	0.91 (0.69-1.55)	1.51 (0.85-1.66)	<0.001	0.064 [-0.032; 0.16]	0.193
Dietary fiber (g/day)	24.0 (20.3-29.0)	30.3 (25.4-35.1) ¹	24.4 (19.9-29.5)	32.2 (26.8-36.7) ¹	<0.001	1.51 [0.088; 2.93]	0.038
Alcohol (g/day)	4.98 (1.37-12.7)	2.17 (0.69-10.3) ¹	3.50 (0.69-11.9)	1.46 (0.00-5.14) ¹	<0.001	-1.84 [-3.18; -0.50]	0.007
Virgin olive oil (g/day)	25.0 (10.0-50.0)	50.0 (50.0-50.0)	25.0 (10.0-50.0)	50.0 (50.0-50.0)	<0.001	0.20 [-2.18; 2.57]	0.872

Vegetables (g/day)	322 (244-414)	376 (300-460)	331 (274-413)	382 (313-482)	<0.001	13.7 [-12.8; 40.1]	0.312
Fruits (g/day)	341 (240-460)	335 (250-439)	339 (218-441)	352 (288-429)	0.233	15.8 [-14.2; 45.8]	0.304
Legumes (g/day)	20.6 (12.6-25.1)	25.1 (20.6-29.7) ¹	17.1 (12.0-25.1)	25.7 (21.1-29.7) ¹	<0.001	2.67 [0.76; 4.58]	0.006
Nuts (g/day)	12.6 (2.00-25.7)	32.0 (25.6-49.1) ¹	9.42 (4.00-25.7)	38.6 (30.0-53.6) ¹	<0.001	7.08 [2.70; 11.4]	0.002
Refined grains (g/day)	109 (72.3-157)	44.5 (20.5-99.9) ¹	99.7 (57.2-139)	42.3 (20.5-57.8) ¹	<0.001	-11.4 [-21.2; -1.55]	0.024
Whole grains (g/day)	8.33 (0.00-75.0)	75.0 (32.1-82.2)	8.33 (0.00-75.0)	75.0 (58.9-82.1)	<0.001	1.48 [-8.68; 11.6]	0.775
Dairy products (g/day)	346 (257-452)	346 (275-538)	308 (233-412)	336 (268-404)	0.028	-8.85 [-44.9; 27.2]	0.630
Eggs (g/day)	25.7 (25.7-25.7)	25.7 (25.7-25.7)	25.7 (12.9-25.7)	25.7 (25.7-25.7)	0.113	0.20 [-1.26; 1.66]	0.787
Poultry and rabbit (g/day)	64.3 (42.8-85.7)	74.3 (64.3-85.7) ¹	74.3 (52.8-85.7)	85.7 (74.3-85.7) ¹	<0.001	10.2 [4.21; 16.2]	<0.001
Red meat (g/day)	64.3 (31.4-85.7)	41.4 (31.1-64.3)	52.8 (31.4-84.3)	31.4 (21.4-42.8)	<0.001	-4.66 [-10.1; 0.81]	0.096
Processed meat (g/day)	36.2 (26.7-47.1)	30.3 (24.2-39.5)	35.5 (26.4-41.8)	29.0 (22.0-35.0)	<0.001	-2.18 [-4.93; 0.58]	0.122
White fish (g/day)	64.3 (25.4-68.3)	64.3 (25.4-68.3)	64.3 (25.4-68.3)	64.3 (30.0-68.3)	<0.001	0.88 [-3.43; 5.18]	0.691
Fatty fish (g/day)	32.8 (21.9-62.8)	59.0 (25.7-62.8)	30.1 (21.9-62.7)	59.0 (25.7-62.8)	<0.001	2.18 [-2.33; 6.68]	0.345
Seafood (g/day)	30.6 (26.6-45.9)	30.6 (30.1-45.9)	30.6 (21.9-45.9)	30.6 (26.6-45.9)	0.809	1.01 [-2.84; 4.87]	0.606
Wine (mL/day)	20.0 (6.66-70.4)	13.3 (2.50-44.5) ¹	14.3 (6.66-54.5)	6.66 (0.00-42.8) ¹	<0.001	-9.22 [-18.2; -0.22]	0.045
Beer (mL/day)	22.0 (0.00-141)	22.0 (0.00-47.1) ¹	22.0 (0.00-47.1)	0.00 (0.00-22.0) ¹	<0.001	-36.3 [-55.6; -17.0]	<0.001

¹: *P*-value <0.05 (post- versus pre-intervention values: paired t-test for normally distributed variables, Wilcoxon signed-rank test for non-normally distributed variables)

Pre- and post-intervention values are presented as means \pm standard deviations for normally distributed variables or medians (1st-3rd quartile) for non-normally distributed variables. Inter-group comparisons in post-intervention values were assessed by multivariable linear regression models adjusted for: baseline levels of the parameter, age, sex, educational level, HDL-C, triglycerides, type-II diabetes, hypercholesterolemia, hypertension, smoking, body mass index, physical activity, and total energy intake. Average change across groups was assessed in the whole study population by paired t-tests in normally distributed variables and Wilcoxon signed-rank test in non-normally distributed variables.

Supplemental Table 3. Changes in the proportion of non-smokers or ever smokers after 6 months of intervention

Non-smokers vs. smokers				
		Post-intervention		McNemar's test <i>P</i> -value
		Non-smokers	Smokers	
Pre-intervention	Non-smokers	350 (89.5%)	5 (1.28%)	0.724
	Smokers	3 (0.77%)	33 (8.44%)	
Never smokers vs. ever smokers				
		Post-intervention		McNemar's test <i>P</i> -value
		Never smokers	Ever smokers	
Pre-intervention	Never smokers	181 (46.3%)	12 (3.07%)	0.361
	Ever smokers	18 (4.60%)	180 (46.0%)	

Supplemental Table 4. Differences in 6-month changes in clinical parameters between control and intensive intervention groups

	Non-restrictive MedDiet, control group		Energy-restricted MedDiet + physical activity		Average change across groups	Inter-group difference	
	Pre-interv. Values	Post-interv. values	Pre-interv. values	Post-interv. values	P-value	Adjusted diff. [95% CI]	P-value
Glucose, mg/dL	110 (100-132)	106 (97-124)	110 (102-130)	106 (97-118) ¹	<0.001	-4.71 [-9.06; -0.35]	0.035
Total cholesterol, mg/dL	218 ± 41.4	215 ± 40.9	222 ± 40.7	217 ± 37.2	0.012	0.20 [-5.51; 5.91]	0.946
HDL cholesterol, mg/dL	54.4 ± 11.1	55.4 ± 11.9	52.5 ± 11.2	55 ± 12.5	<0.001	1.10 [-0.34; 2.54]	0.136
LDL cholesterol, mg/dL	134 ± 35.3	129 ± 33.7	139 ± 37.1	136 ± 33.3	0.010	2.61 [-2.26; 7.48]	0.294
Triglycerides, mg/dL	144 (107-187)	136 (99-183) ¹	131 (103-179)	118 (91-155) ¹	<0.001	-21.1 [-30.5; -11.6]	<0.001
Systolic blood pressure, mmHg	140 ± 12.5	138 ± 14.5 ¹	141 ± 12.0	135 ± 14.1 ¹	<0.001	-4.36 [-6.87; -1.84]	<0.001
Diastolic blood pressure, mmHg	75 ± 10.2	74 ± 9.96	76 ± 8.84	72 ± 9.73 ¹	<0.001	-3.57 [-5.26; -1.89]	<0.001
Body weight, kg	89.0 ± 13.8	86.3 ± 13.7 ¹	87.4 ± 14.0	81.0 ± 12.8 ¹	<0.001	-3.83 [-4.57; -3.09]	<0.001
Body mass index, kg/m ²	33.6 ± 3.49	32.6 ± 3.61 ¹	33.1 ± 3.5	30.7 ± 3.42 ¹	<0.001	-1.43 [-1.71; -1.16]	<0.001
Waist circumference, cm	111 ± 9.59	109 ± 9.70 ¹	110 ± 9.71	104 ± 9.30 ¹	<0.001	-3.44 [-4.28; -2.61]	<0.001

¹: *P*-value <0.05 (post- versus pre-intervention values: paired t-test for normally distributed variables, Wilcoxon signed-rank test for non-normally distributed variables)

Pre- and post-intervention values are presented as means ± standard deviations for normally distributed variables or medians (1st-3rd quartile) for non-normally distributed variables. Inter-group comparisons in post-intervention values were assessed by multivariable linear regression models adjusted for: baseline levels of the parameter, age, sex, educational level, HDL-C, triglycerides, type-II diabetes, hypercholesterolemia, hypertension, smoking, body mass index, physical activity, and total energy intake. Average change across groups was assessed in the whole study population by paired t-tests in normally distributed variables and Wilcoxon signed-rank test in non-normally distributed variables

Supplemental Table 5. Proportion of inter-change differences mediated by 6-month decreases in body mass index

	Proportion of mediation [95% CI]
Cholesterol efflux capacity, ratio	1.52 [-582; 454]
HDL oxidative/inflammatory index, ratio	5.67 [-182; 235]
HDL oxidation, μg MDA/g protein	48.5 [-761; 704]
Complement component 3 in apoB-depleted plasma, mg/g protein	137 [-2350; 2900]
Serum amyloid A in apoB-depleted plasma, $\mu\text{g/g}$ protein	-29.6 [-594; 375]
Sphingosine-1-phosphate in apoB-depleted plasma, $\mu\text{g/g}$ protein	127 [-1450; 1140]
Triglycerides in apoB-depleted plasma, mg/g protein	77.4 [22.3; 382]
Apolipoprotein A-I in apoB-depleted plasma, mg/g protein	52.5 [-761; 1330]
Apolipoprotein A-IV in apoB-depleted plasma, $\mu\text{g/g}$ protein	8.69 [-547; 566]
Apolipoprotein C-III in apoB-depleted plasma, mg/g protein	72.1 [30.3; 265]
Apolipoprotein E in apoB-depleted plasma, mg/g protein	61.4 [-687; 637]

Analyses were adjusted for baseline levels of the parameter, age, sex, educational level, HDL-C, triglycerides, type-II diabetes, hypercholesterolemia, hypertension, smoking, body mass index, physical activity, and total energy intake.

Supplemental Table 6. Sex-stratified inter-group analyses

	Women		Men		Interaction (<i>P</i> -value)
	Inter-group diff. [95% CI]	<i>P</i> -value	Inter-group diff. [95% CI]	<i>P</i> -value	
Cholesterol efflux capacity, ratio	0.005 [-0.024; 0.033]	0.753	-0.009 [-0.045; 0.026]	0.600	0.547
HDL oxidative/inflammatory index, ratio	0.004 [-0.033; 0.042]	0.824	0.036 [-0.003; 0.074]	0.073	0.330
HDL oxidation, µg MDA/g protein	-0.013 [-0.31; 0.28]	0.934	-0.13 [-0.44; 0.19]	0.422	0.606
Serum amyloid A in apoB-depleted plasma, µg/g protein	116 [-114; 347]	0.323	-25.7 [-224; 173]	0.800	0.369
Complement component 3 in apoB-depleted plasma, mg/g protein	-0.007 [-0.26; 0.25]	0.958	-0.097 [-0.34; 0.15]	0.434	0.484
Sphingosine-1-phosphate in apoB-depleted plasma, µg/g protein	-0.065 [-0.37; 0.24]	0.683	-0.15 [-0.45; 0.15]	0.330	0.419
Triglycerides in apoB-depleted plasma, mg/g protein	-0.13 [-0.35; 0.085]	0.237	-0.20 [-0.37; -0.026]	0.025	0.749
Apolipoprotein A-I in apoB-depleted plasma, mg/g protein	0.35 [-0.35; 1.05]	0.330	-0.11 [-0.75; 0.52]	0.726	0.622
Apolipoprotein A-IV in apoB-depleted plasma, µg/g protein	4.18 [-13.2; 21.5]	0.638	1.31 [-13.8; 16.4]	0.865	0.804
Apolipoprotein C-III in apoB-depleted plasma, mg/g protein	-0.073 [-0.18; 0.030]	0.165	-0.15 [-0.27; -0.026]	0.019	0.367
Apolipoprotein E in apoB-depleted plasma, mg/g protein	0.002 [-0.024; 0.028]	0.858	0.019 [-0.006; 0.044]	0.133	0.410

Inter-group comparisons in post-intervention values were assessed by multivariable linear regression models adjusted for: baseline levels of the parameter, age, educational level, HDL-C, triglycerides, type-II diabetes, hypercholesterolemia, hypertension, smoking, body mass index, physical activity, and total energy intake. We tested whether there was a significant association between the intervention group and sex on post-intervention HDL functional properties by applying a likelihood ratio test between the regression models with and without the interaction product-term “intervention group x sex”.

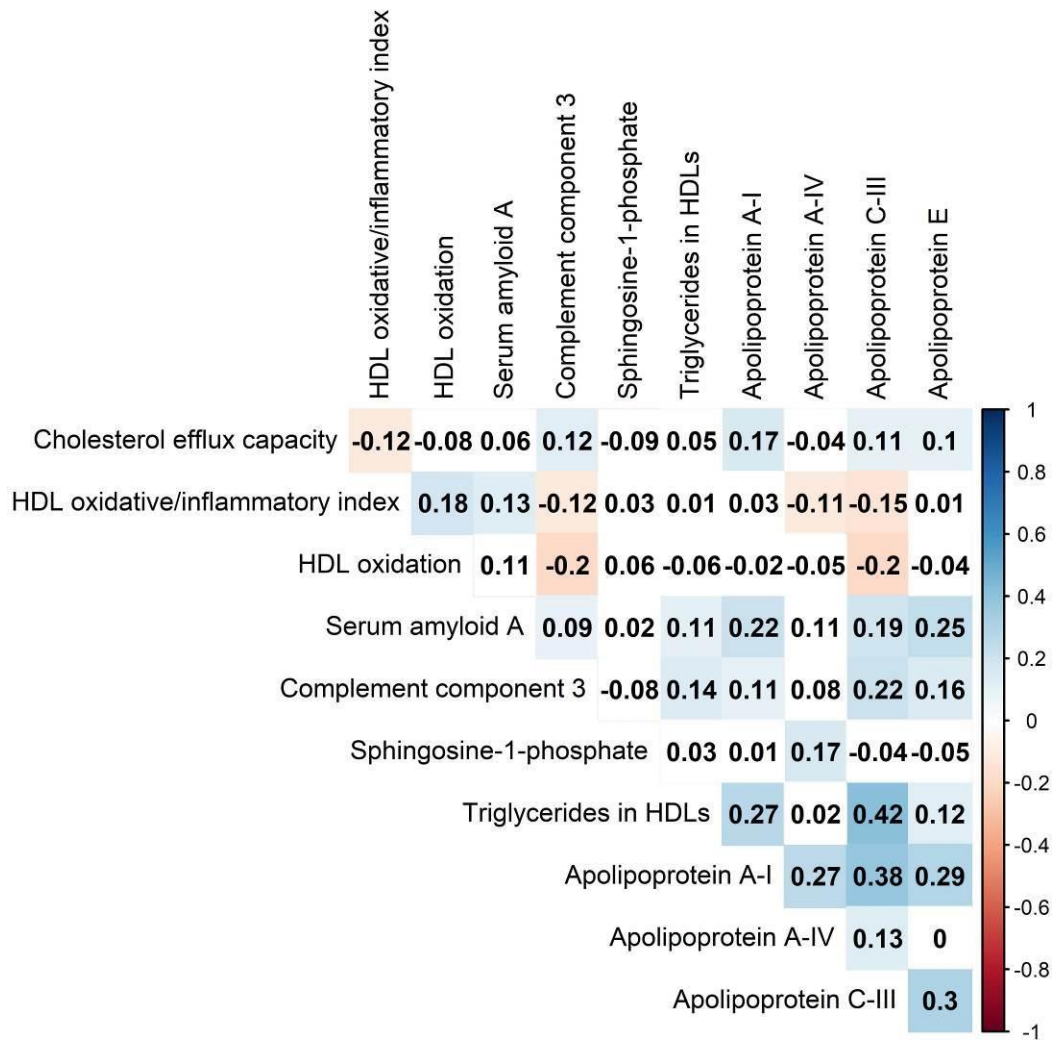
Supplemental Table 7. Diabetes-stratified inter-group analyses

	Non-diabetic		Diabetic		Interaction (<i>P</i> -value)
	Inter-group diff. [95% CI]	<i>P</i> -value	Inter-group diff. [95% CI]	<i>P</i> -value	
Cholesterol efflux capacity, ratio	0.012 [-0.013; 0.036]	0.356	-0.051 [-0.119; 0.018]	0.145	0.119
HDL oxidative/inflammatory index, ratio	0.017 [-0.014; 0.048]	0.273	0.019 [-0.032; 0.07]	0.472	0.894
HDL oxidation, µg MDA/g protein	-0.13 [-0.36; 0.090]	0.242	-0.010 [-0.45; 0.43]	0.963	0.675
Serum amyloid A in apoB-depleted plasma, µg/g protein	20.1 [-176; 216]	0.841	103 [-167; 373]	0.454	0.455
Complement component 3 in apoB-depleted plasma, mg/g protein	0.011 [-0.20; 0.22]	0.917	-0.12 [-0.43; 0.20]	0.473	0.273
Sphingosine-1-phosphate in apoB-depleted plasma, µg/g protein	-0.12 [-0.40; 0.16]	0.401	-0.076 [-0.43; 0.28]	0.677	0.590
Triglycerides in apoB-depleted plasma, mg/g protein	-0.18 [-0.36; 0.005]	0.057	-0.087 [-0.32; 0.14]	0.457	0.660
Apolipoprotein A-I in apoB-depleted plasma, mg/g protein	-0.12 [-0.68; 0.45]	0.679	0.65 [-0.23; 1.53]	0.149	0.115
Apolipoprotein A-IV in apoB-depleted plasma, µg/g protein	-11.1 [-26.7; 4.39]	0.161	19.3 [1.70; 36.8]	0.033	0.021
Apolipoprotein C-III in apoB-depleted plasma, mg/g protein	-0.16 [-0.27; -0.061]	0.002	-0.011 [-0.14; 0.12]	0.873	0.031
Apolipoprotein E in apoB-depleted plasma, mg/g protein	0.002 [-0.023; 0.026]	0.882	0.026 [-4·10 ⁻⁴ ; 0.052]	0.056	0.088

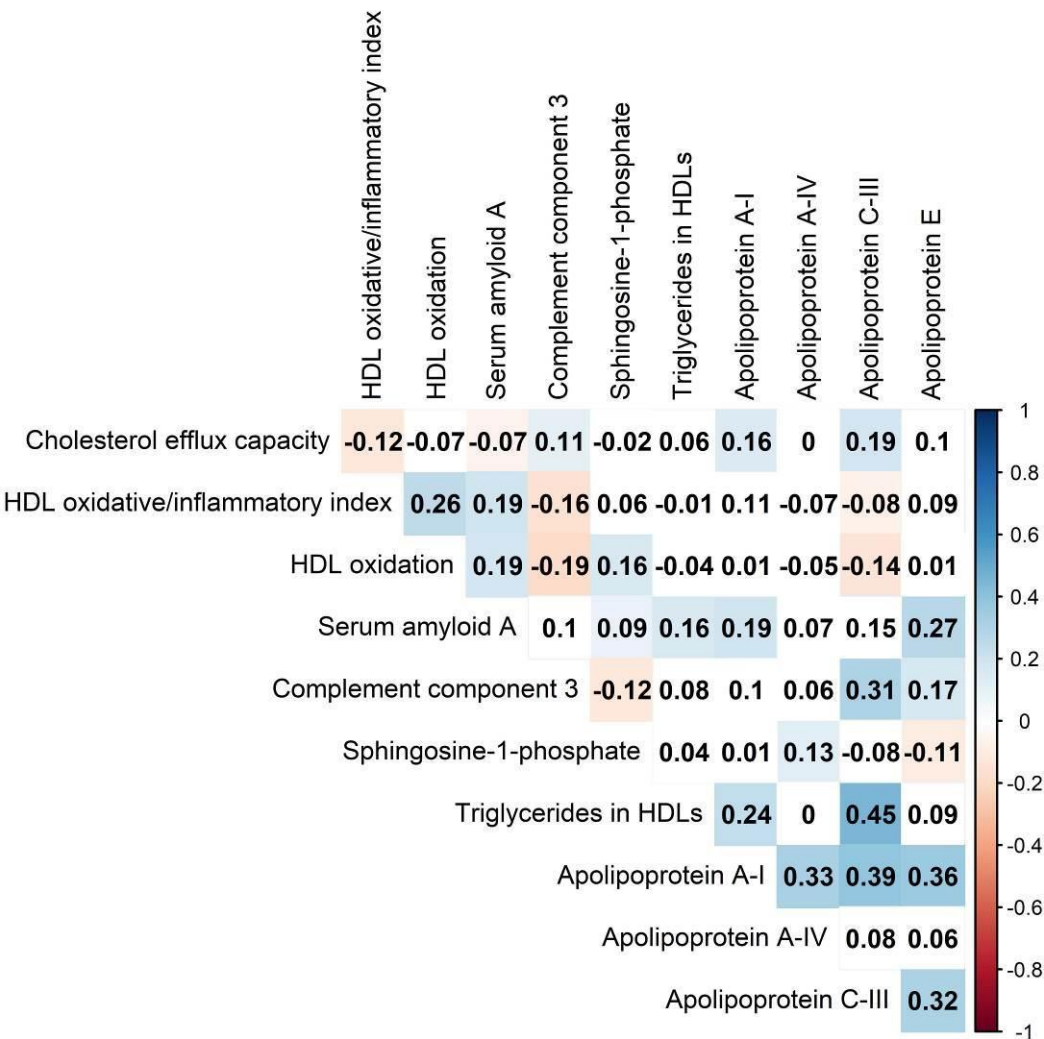
Inter-group comparisons in post-intervention values were assessed by multivariable linear regression models adjusted for: baseline levels of the parameter, age, sex, educational level, HDL-C, triglycerides, hypercholesterolemia, hypertension, smoking, body mass index, physical activity, and total energy intake. We tested whether there was a significant association between the intervention group and diabetes on post-intervention HDL functional properties by applying a likelihood ratio test between the regression models with and without the interaction product-term “intervention group x prevalence of diabetes at baseline”.

SUPPLEMENTAL FIGURES

Supplemental Figure 1. Correlation matrix among baseline HDL functionality parameters.



Supplemental Figure 2. Correlation matrix among post-intervention HDL functionality parameters.



Appendix. List of PREDIMED-Plus Collaborators

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