

Increased Consumption of Virgin Olive Oil, Nuts, Legumes, Whole Grains, and Fish Promotes HDL Functions in Humans

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Abbreviations

CETP: cholesteryl ester transfer protein

PON1: paraoxonase-1

Keywords: “fish”, “high-density lipoprotein functionality”, “legumes and grains”, “nuts”, “virgin olive oil”

1 **ABSTRACT**

2

3 **Scope.** To evaluate whether increases in the consumption of cardioprotective food
4 groups (virgin olive oil, nuts, fruits/vegetables, legumes, whole grains, fish, and wine)
5 are associated with improvements in high-density lipoprotein (HDL) functions in high
6 cardiovascular risk subjects.

7 **Methods and Results.** The association between 1-year changes in food group
8 consumption and HDL functionality traits in 296 high cardiovascular risk subjects is
9 assessed. Increases in virgin olive oil (10 g d⁻¹) and whole grain consumption (25 g d⁻¹)
10 are associated with increments in cholesterol efflux capacity (+0.7%, P = 0.026, and
11 +0.6%, P = 0.017, respectively). Increases in nut (30 g d⁻¹) and legume intake (25 g
12 d⁻¹) are linked to increments in paraoxonase-1 activity (+12.2%, P = 0.049, and
13 +11.7%, P = 0.043, respectively). Legume intake increases are also related to
14 decreases in cholesteryl ester transfer protein activity (-4.8%, P = 0.028). Fish
15 consumption increments (25 g d⁻¹) are associated with increases in paraoxonase-1
16 activity (+3.9%, P = 0.030) and declines in cholesteryl ester transfer protein activity (-
17 1.6%, P = 0.021), HDL cholesterol concentrations (-1.1%, P = 0.039), and functions
18 related to HDL levels (cholesterol efflux capacity, -1.1%, P = 0.010).

19 **Conclusion.** Increases in the consumption of virgin olive oil, nuts, legumes, whole
20 grains, and fish (achievable through a regular diet) were associated with improvements
21 in HDL functions in high cardiovascular risk subjects.

22 1. INTRODUCTION

23

24 Few real-life dietary modifications have been shown to be able to improve the
25 biological functions of high-density lipoproteins (HDLs) in humans. Only increases in
26 the intake of polyphenol-rich virgin olive oil (25 mL d⁻¹),¹ a lycopene-rich diet,² and a
27 traditional Mediterranean diet³ have been reported to enhance HDL functions in
28 clinical trials. Regarding the Mediterranean diet, our research group has demonstrated
29 that adherence to this dietary pattern (associated with a high intake of virgin olive oil,
30 nuts, fruit, vegetables, legumes, and whole grains, and a moderate consumption of fish
31 and wine with meals)⁴ improved several HDL functions: it promoted cholesterol efflux
32 capacity (their capacity to pick up cholesterol), HDL ability to esterify cholesterol
33 (necessary for the effective transport of cholesterol in these lipoproteins),
34 paraoxonase-1 activity (PON1, a key HDL-bound antioxidant enzyme), and HDL
35 capacity to promote endothelial release of nitric oxide, and decreased the activity of
36 the cholesteryl ester transfer protein (CETP, pro-atherogenic when excessively
37 active).³ The food items individually responsible for such effects (within the context of
38 a healthy dietary pattern such as this or others), however, remain to be elucidated.
39 Our aim was to determine whether real-life increases in the intake of cardioprotective
40 food groups (virgin olive oil, nuts, fruit and vegetables, legumes, whole grains, fish, and
41 wine) for 1 year were linked to improvements in HDL biological functions in high
42 cardiovascular risk subjects.

43

44 2. EXPERIMENTAL SECTION

45

46 Study population

47 The analyses were performed in a random sub-sample of 296 volunteers from the
48 PREDIMED trial (PREvención con Dieta MEDiterránea)^{4, 5} in which HDL functions
49 were previously assessed.³

50 The following information⁵ was collected: 1) clinical variables (age, sex, weight, height,
51 blood pressure, and biochemical profile); 2) use of cardiovascular drugs; 3)
52 consumption of 137 foods by a validated food frequency questionnaire; 4) adherence to
53 a traditional Mediterranean diet by a validated 14-item score; 5) levels of physical
54 activity with a validated Minnesota Leisure Time Physical Activity questionnaire; and 6)
55 smoking habit. Body mass index was calculated as the ratio between weight (kg) and
56 the height squared (m²), and three categories were established: normoweight (18.5–
57 24.9 kg m⁻²), overweight (25.0–29.9 kg m⁻²), and obesity (≥ 30.0 kg m⁻²).
58 Hypercholesterolemia was defined as the presence of total cholesterol ≥ 200 mg dL⁻¹
59 or the use of statins; hypertriglyceridemia as the presence of triglycerides ≥ 150 mg dL⁻¹
60 and/or the use of fibrates or pharmacological doses of omega-3 PUFAs; type-II
61 diabetes mellitus as the presence of an altered glucose metabolism or the use of
62 antidiabetic drugs; and hypertension as systolic blood pressure ≥ 140 mmHg, diastolic
63 blood pressure ≥ 90 mmHg, or the use of antihypertensive agents.⁵ Finally, the
64 consumption of food groups was computed from the results of the food frequency
65 questionnaire as follows: 1) “virgin olive oil” as the sum of all virgin and extra virgin
66 olive oils consumed; 2) “nuts” as the sum of the intake of walnuts, almonds, pistachios,
67 hazelnuts, and pine nuts; 3) “fruit and vegetables” as the sum of the consumption of
68 green leafy vegetables, tomatoes and tomato soup (gazpacho), peppers, carrots, allium
69 plants, cucurbits, cruciferous plants, green beans, asparagus, other vegetables, fruits
70 of the Rosacea and Citrus families, berries, bananas, melons, watermelons,
71 pineapples, kiwis, grapes, and other minor fruits; 4) “legumes” as the sum of consumed
72 lentils, chickpeas, beans, and peas; 5) “whole grains” as the sum of the intake of whole
73 grain bread and biscuits; 6) “fish” as the sum of the consumption of all lean and fatty
74 fish (fresh or preserved naturally or in oil); and 7) “wine” as the sum of all red, rosé,
75 white, sparkling, and sweet wine consumed.⁵ Average intake of all food items was
76 expressed as g d⁻¹ (excepting wine, expressed as mL d⁻¹).

77

78 Study participants provided written informed consent before joining the trial. The study
79 protocol was approved by local Research and Ethics Committees and registered with
80 the International Standard Randomized Controlled Trial Number ISRCTN35739639.
81 Details have been published elsewhere. 4, 5.

82

83 **HDL functionality determinations**

84 HDL particles were first isolated from volunteers' plasma samples by density gradient
85 ultracentrifugation (isolated HDL fraction)^{1, 3} and polyethylene glycol-induced
86 precipitation of apolipoprotein B-containing lipoproteins (apolipoprotein B-depleted
87 plasma),³ and the samples were stored at $-80\text{ }^{\circ}\text{C}$ until use. The participants' HDL
88 cholesterol levels were analyzed in an ABX-Pentra 400 autoanalyzer (Horiba ABX,
89 Montpellier, France).³ The following were determined: 1) cholesterol efflux capacity in
90 a model of human THP-1 monocyte-derived macrophages with 3H-cholesterol treated
91 with 5% apolipoprotein B-depleted plasma samples as previously described;³ 2) the
92 ability of HDLs to esterify cholesterol as the ratio between the percentage of esterified
93 cholesterol (in isolated HDL samples obtained by ultracentrifugation) and lecithin
94 cholesterol acyltransferase concentration in serum samples;³ 3) the activities of CETP
95 and PON1 enzymes in plasma and serum samples, respectively, by commercial
96 kits;^{1, 3} and 4) HDL capacity to promote endothelial release of nitric oxide in vitro in a
97 human umbilical vein endothelial cell model treated with apolipoprotein B-depleted
98 plasma samples.³

99

100 **Sample size**

101 Accepting a type-I error of 0.05, a type-II error of 0.2, and a 1% loss rate in a two-
102 sided test, a sample size of 196 subjects provided sufficient statistical power to
103 determine that Pearson's correlation coefficients ≥ 0.2 were significantly different from
104 zero. Sample size was incremented by 50% (up to 294 volunteers) to allow
105 adjustments for different covariates.

106 **Statistical analyses**

107 The 1-year differences in HDL functionality variables were computed as percentage
108 changes to simplify data interpretation $((1\text{-year value} - \text{baseline value})/\text{baseline value}$
109 $\times 100)$, the 1-year differences in dietary variables as linear differences (1-year value –
110 baseline value), and the distribution of continuous variables was assessed using
111 normality plots and histograms. The association between the changes in consumption
112 of food groups and changes in HDL functions by multivariate linear regression models
113 was determined, without any adjustment and adjusted for: age (continuous); gender;
114 study site; study intervention group (three categories^{4, 5}); adherence to a traditional
115 Mediterranean diet (continuous); 1-year changes in the status of dyslipidemia
116 (hypercholesterolemia + hypertriglyceridemia), type-II diabetes, hypertension, and
117 tobacco use; body mass index category at baseline, energy intake at baseline
118 (continuous), and physical activity at baseline (tertiles). These analyses were repeated
119 after stratifying subjects in quartiles according to baseline HDL cholesterol levels, and
120 whether there were linear trends in the association coefficients when increasing along
121 quartiles by Pearson's tests was assessed. Any two-sided P-value <0.05 was accepted
122 as significant and the previous analyses in R Software, version 3.4.1, using the “lme4”
123 package were executed.^{6, 7}

124

125 **3. RESULTS**

126

127 Characteristics of study participants are available in Table S1, Supporting Information.
128 Associations between 1-year changes in food intake and variations in HDL function
129 are depicted in Table 1. Regarding the fully adjusted model, increases in the daily
130 consumption of a serving of virgin olive oil (10 g, one spoonful) and whole grains (25
131 g) were independently associated with increments in cholesterol efflux capacity of
132 0.7% (p = 0.026) and 0.6% (p = 0.017), respectively. Increases in the consumption of
133 nuts in 30 g d⁻¹ (a fistful) and legumes in 25 g d⁻¹ (≈2 servings per week) was

134 independently linked to increments of 12.2% ($p = 0.049$) and 11.7% ($p = 0.043$) in
135 PON1 antioxidant activity, respectively. The increase in legume intake was also linked
136 to a 2.6% rise in HDL cholesterol levels ($p = 0.036$) and a 4.8% decrease in CETP
137 activity ($p = 0.028$). Increments of fish consumption in 25 g d⁻¹ (≈ 2 servings per week)
138 were associated with a 3.9% promotion of PON1 antioxidant activity ($p = 0.030$) and a
139 protective 1.6% decline in CETP activity ($p = 0.021$), together with a 1.1% decrease in
140 HDL cholesterol concentrations ($p = 0.039$), and in those functions possibly related to
141 HDL levels (such as cholesterol efflux capacity, -1.1% , $p = 0.010$). When studying fish
142 subtypes, only augmentations in fresh fatty fish consumption (25 g d⁻¹) were linked to
143 a greater decrease in CETP activity (-2.3% , $p = 0.043$). Finally, when stratifying the
144 previous analyses according to baseline HDL cholesterol concentrations, we observed
145 that the associations of increasing nuts or fish consumption with increments in PON1
146 antioxidant activity were particularly present in those subjects with high HDL
147 cholesterol levels (Table S2, Supporting Information). (**Supplemental Table 2**).
148 No significant differences in HDL properties were associated with changes in the
149 consumption of fruit/vegetables and wine. Raw baseline data have already been
150 described in a previous publication.³

151

152

153 **4. DISCUSSION**

154

155 Our results show that 1-year increases in the consumption of virgin olive oil, nuts,
156 legumes, whole grains (and fish, in a more ambiguous way) were associated with
157 improvements in HDL functions in high cardiovascular risk individuals. Such
158 enhancements were unrelated to other lifestyle- and cardiovascular-related variables.

159

160 Specifically, we have confirmed the protective capacity of increasing the consumption
161 of virgin olive oil on cholesterol efflux capacity. 1, 3, an essential measurement of HDL

162 function that is inversely related to the incidence of coronary events,⁸ and observed
163 that incrementing the consumption of a serving of whole grains (25 g d⁻¹, a slice of
164 whole bread) induces a similar protective effect. Such foods are a key source of fiber,
165 polyphenols, and other bioactive components that could contribute to explaining our
166 results.^{9, 10} To the best of our knowledge, this is the first time that the effect of
167 increasing whole grain consumption on HDL function has been reported in humans.
168

169 Our data also showed that legumes strongly modulated HDL functional traits in our
170 data: they promoted HDL antioxidant function and moderated CETP function. The
171 enhancement of PON1 activity after increasing the consumption of 25 g d⁻¹ of
172 legumes (\approx 2 servings per week; +11.7%) was similar to that achieved after
173 incrementing the consumption of nuts to a portion per day (+12.2%). The richness in
174 fiber and antioxidants of these food items may account for their cardiovascular
175 benefits.^{10, 11} To date, no association between legume consumption and HDL
176 functionality has been reported.

177 Finally, the effects of increasing fish consumption on HDL functions were more
178 ambiguous in our work. We observed an association between a 25 g d⁻¹ increase in
179 fish intake with higher PON1 activity (+3.9%) and lower CETP function (-1.6%), in
180 parallel to lower concentrations of HDL cholesterol (-1.1%) and some HDL functional
181 properties that could be possibly related to HDL quantity (such as cholesterol efflux
182 capacity, which decreased to the same extent as HDL cholesterol, -1.1%). In this
183 regard, the relationship between omega-3 PUFAs in fish and HDL is still controversial.
184 Some authors have reported that their consumption promotes the cholesterol content of
185 large HDLs only, others indicate that they may increase the catabolic rate of
186 apolipoprotein A-I, and according to a comprehensive review of the topic there is as yet
187 no consensus on their effects.¹² Nevertheless, we observed that the decrease in CETP
188 activity was the only significant association with increases in the consumption of fresh
189 fatty fish. In addition, increases in the intake of the other omega-3-rich food item (nuts)

190 baseline. We hypothesize that, whether HDL cholesterol concentrations of these
191 subjects are higher, they may also present greater levels of the enzyme in circulation
192 and be particularly sensitive to potential functional benefits. Finally, the improvements
193 in PON1 and CETP activities that we have reported were of greater magnitude than
194 the decline in HDL cholesterol levels, and concur with earlier evidence indicating that
195 omega-3 PUFAs may promote PON1 function and decrease CETP activity.^{13, 14}
196 This study has strengths and limitations. Regarding our strengths, we have reported
197 associations between prospective data (changes in food consumption and the
198 promotion of HDL functions), provided a quantitative measurement of beneficial effects
199 (percentage changes in HDL functions), and used standardized protocols in a large
200 sample size to comprehensively study key HDL functions. However, it also presents
201 limitations. First, this was a prospective change analysis in a high cardiovascular risk
202 population and our conclusions should be confirmed in randomized controlled trials
203 and could only be extrapolated to high cardiovascular risk subjects. To increase the
204 generalizability of our conclusions, our regression models have been fully adjusted for
205 several co-variables that may affect HDL function (such as age, sex, cardiovascular risk
206 factors, energy intake, and physical activity) and are independent from the effect of the
207 diet as a whole (our results are also adjusted for the allocation of the volunteers to
208 Mediterranean diets or a low-fat one and their adherence to a traditional Mediterranean
209 diet). Second, as expected, the changes observed in this work were modest because
210 they were associated with moderate real-life diet modifications. Third, several HDL
211 functions were determined in cellular models that, while noninvasive, may not reflect
212 possible counter-regulatory mechanisms affecting the final outcome. Finally, CETP and
213 PON1 activities, and HDL capacity to promote endothelial release of nitric oxide could
214 not be measured due to sample availability and technical issues in 67 and 50
215 volunteers, respectively.

216 In conclusion, we report that real-life increases in the 1-year consumption of virgin olive
217 oil, nuts, legumes, whole grains, and fish may lead to relevant improvements in HDL
218 functions in high cardiovascular risk subjects. This study describes for the first time
219 an association between incrementing the consumption of legumes and whole grains
220 and enhancements in HDL function. It also confirms the beneficial effects of virgin
221 olive oil, nuts, and fish on these properties, and reinforces the idea that a healthy diet
222 may promote HDL functionality. Further randomized controlled trials are warranted to
223 investigate whether these dietary modifications may contribute to promoting HDL
224 function in humans.

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285 **AUTHOR CONTRIBUTIONS**

286 A.H. and M.Fitó designed the study. A.H. acquired the data. M.A.M.-G., E.R., X.P.,
287 R.E., J.S.-S., D.C., A.M.A.G., L.S.-M., M.Fiol, J.L., R.M.L.-R., and M.Fitó contributed
288 with biological samples and/or participated in the design and development of the
289 clinical trial. A.H. and A.S. wrote the manuscript which was critically reviewed by O.C.,
290 M.A.M.-G., E.R., X.P., R.E., J.S.-S., D.C., A.M.A.G., L.S.-M., M.Fiol, J.L., R.T, R.M.L.-
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301

302 **CONFLICT OF INTEREST**

303 Emilio Ros reports receiving grants/research support through his Institution from the
304 California Walnut Commission, being a nonpaid member of its Scientific Advisory
305 Committee, and receiving lecture fees from Nuts for Life and Danone. Ramón Estruch
306 reports serving on the board of and receiving lecture fees from the Research
307 Foundation on Wine and Nutrition (FIVIN), serving on the boards of the Beer and
308 Health Foundation and the European Foundation for Alcohol Research (ERAB), and
309 receiving lecture fees from Cerveceros de España. Jordi Salas-Salvadó reports
310 receiving grants/research support through his Institution from the International Nut and
311 Dried Fruit Foundation (in whose Scientific Committee he is a nonpaid member) and
312 the American Pistachio Growers, receiving honoraria from Nuts for Life, Danone and

313 Eroski, and being a member of the executive committee of the Instituto Danone Spain.
314 Rosa-María Lamuela-Raventós reports serving on the board of and receiving lecture
315 fees from FIVIN, receiving lecture fees from Cerveceros de España, and receiving
316 lecture fees and travel support from PepsiCo. No other potential conflict of interest
317 relevant to this article has been reported.

318 TABLES

319

320 **Table 1.** Association between increases in the consumption of different food items and changes in HDL-related traits^a (in %).

| Variables | ↑ 10 g/day of virgin olive oil | | ↑ 30 g/day of nuts | | ↑ 25 g/day of legumes | | ↑ 25 g/day of whole grains | | ↑ 25 g/day of fish | |
|---|-----------------------------------|-------------------------|------------------------|------------------------|--------------------------|--------------------------|-------------------------------|------------------------|--------------------------|---------------------------|
| | Raw model | Adjusted model | Raw model | Adjusted model | Raw model | Adjusted model | Raw model | Adjusted model | Raw model | Adjusted model |
| Change in HDL cholesterol concentrations (%) | -0.057 [-0.70; 0.59] | 0.005 [-0.76; 0.77] | 1.43 [-1.03; 3.90] | 1.66 [-1.31; 4.62] | 3.13* [0.70; 5.58] | 2.60* [0.18; 5.03] | 0.25 [-0.40; 0.90] | 0.26 [-0.39; 0.91] | -1.17* [-2.20; -0.15] | -1.14* [-2.21; -0.065] |
| Change in cholesterol efflux capacity (%) | 0.54* [0.036; 1.03] | 0.68* [0.084; 1.27] | 2.03 [-0.043; 4.11] | 1.36 [-1.32; 4.05] | 0.59 [-1.50; 2.65] | 0.82 [-1.31; 2.95] | 0.53* [0.018; 1.05] | 0.64* [0.12; 1.16] | -0.93* [-1.73; -0.12] | -1.11* [-1.96; -0.27] |
| Change in HDL capacity to esterify cholesterol (%) | 0.33 [-1.01; 1.67] | -0.068 [-1.70; 1.57] | -3.90 [-9.84; 2.04] | -2.03 [-9.93; 5.85] | -0.13 [-7.00; 6.75] | 0.78 [-6.55; 8.13] | -0.49 [-1.72; 0.74] | -0.35 [-1.63; 0.93] | -0.46 [-2.65; 1.73] | -0.36 [-2.75; 2.04] |
| Change in cholesteryl ester transfer protein activity (%) | 0.003 [-0.76; 0.76] | 0.54 [-0.40; 1.48] | 0.63 [-2.75; 4.02] | 0.37 [-4.29; 5.01] | -3.35 [-7.25; 0.53] | -4.80* [-9.03; -0.57] | 0.26 [-0.45; 0.97] | 0.24 [-0.52; 0.99] | -1.41* [-2.63; -0.18] | -1.63* [-3.00; -0.27] |
| Change in paraoxonase-1 antioxidant activity (%) | 2.56* [0.62; 4.51] | 2.09 [-0.33; 4.51] | 3.48 [-5.37; 12.4] | 12.2* [0.13; 24.2] | 14.6* [4.25; 24.9] | 11.7* [0.44; 22.8] | 0.17 [-1.67; 2.01] | -0.13 [-2.08; 1.82] | 3.18* [-0.003; 6.33] | 3.93* [0.40; 7.45] |
| Change in HDL capacity to promote endothelial release of nitric oxide (%) | 0.26 [-0.99; 1.51] | -0.28 [-1.79; 1.23] | 2.07 [-2.69; 6.81] | -1.79 [-7.80; 4.20] | 1.37 [-3.53; 6.25] | 2.02 [-2.93; 6.95] | 0.064 [-1.27; 1.40] | -0.28 [-1.65; 1.08] | 1.29 [-0.70; 3.28] | 1.88 [-0.19; 3.95] |

321 a) Adjusted models have been adjusted for: age; sex; study site; PREDIMED intervention group; changes in the status of type-II diabetes,

322 dyslipidemia (hypercholesterolemia and hypertriglyceridemia), hypertension, and tobacco use; and baseline values of body mass index

323 category, adherence to a Mediterranean diet, and physical activity (tertiles). *: $P < 0.05$.